

Docket No. 199894US8/shb

IMAGE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Naoki TSUKIJI, et al.

SERIAL NO: 09/832,885

GAU: 2826

FILED: April 12, 2001

EXAMINER: Johannes P. MONDT

FOR: SEMICONDUCTOR LASER DEVICE FOR USE IN A LASER MODULE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Joseph A. Scafetta, Jr.*  
Bradley D. Lytle

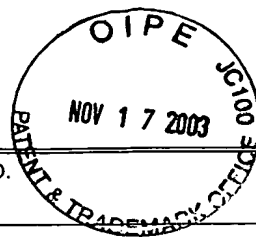
Registration No. 40,073

Joseph A. Scafetta, Jr.  
Registration No. 26,803

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 199894US8		SERIAL NO. 09/832,885	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Naoki TSUKIJI, et al.			
				FILING DATE April 12, 2001		GROUP 2826	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,111,475	05/05/1992	D. A. ACKERMAN, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	0 479 279	04/08/1992	EUROPE			
	AP	2 347 520	09/06/2000	GREAT BRITAIN			
	AQ	1 130 704	09/05/2001	EUROPE			
	AR	4-29387	01/31/1992	JAPAN (with English Abstract)			X
	AS	2-272432	11/07/1990	JAPAN (with English Abstract)			X
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	A. TALNEAU, et al., Applied Physics Letters, vol. 75, no. 5, pages 600-602, XP-000875501, "MULTIPLE DISTRIBUTED FEEDBACK OPERATION AT 1.55 $\mu$ m WITH UNIFORM OUTPUT POWERS IN A SINGLE LASER DIODE", August 2, 1999					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							